



## JSEL SECURITIES LIMITED

Stock Exchange Building, JLN Marg, Malviya Nagar, Jaipur -302017  
Designated E-mail ID for Investor Grievances : jselsecurities@yahoo.com

### ACKNOWLEDGEMENT

Client Name: Mr./ Ms .....

Client Code / Trading Code: .....

- 1) I/We do hereby confirm the receipt of copy of the duly completed KYC documents including RDD, Right and obligations Guidelines Note and all such other documents executed by me/us as your client.
- 2) I/We do hereby confirm the receipt of the tariff sheet, trading code/unique client code allotted to me/us including the E-mail ID furnished by me/us the purpose of receiving electronic contract notes, statement of accounts and such other documents.
- 3) In case of non-receipt of the above I will promptly notify to your compliance officer : Alok Nigam, on email id jselsecurities@yahoo.com or on Tel No. 0141-2729061, 2729009, 2729134

Client's signature:.....

Date:

D	D	M	M	Y	Y	Y	Y
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## JSEL SECURITIES LIMITED

Stock Exchange Building, JLN Marg, Malviya Nagar, Jaipur -302017  
Phone: 0141-2729061, 2729009, 2729134, 2729134

### "RIGHTS & OBLIGATIONS" Acknowledgment (Brokers)

I/We do hereby acknowledge receipt of following:

1. Right and Obligation of Stock Brokers and client.
2. Risk Disclosure Document for Capital Market .
3. Guidance Note – Do's and Don'ts for trading of the Exchange(S) for investors document ,Authority Letters, Running Account Authorization, Letter for margin account, Mobile No. Declaration, client defaulter declaration, Tripartite Agreement Etc.

I / We declare that I/We have been also made aware that such documents including standard set of KYC documents are published on our website www.jselsec.in. I am / We are also aware that these documents in vernacular languages can also be downloaded from [http://www.bseindia.com/investors/client\\_regislanguages.aspx?expandable=3](http://www.bseindia.com/investors/client_regislanguages.aspx?expandable=3). I/ Will promptly notify you in writing and will seek your guidance the same. I/ We also declare and understand that in case of any ambiguity the contents of the English version would prevail.

I am /We are aware that if option of electronic /Soft copy is selected, you shall email me the same in time on my/ our email id as written and being registered through my/ our KYC form.

In Electronic /Soft Copy ☐

In Hard/ Physical copy ☐

Client's signature .....

Date :

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---



## JSEL SECURITIES LIMITED

Stock Exchange Building, JLN Marg, Malviya Nagar, Jaipur -302017

Received the application from M/s / Mr./Ms. .... for opening of a trading account. Please quote Client ID/UCC allotted to you in all your future correspondence.

For **JSEL SECURITIES LIMITED**

Date .....

Authorised Signatory



INDEX OF DOCUMENTS			
S.No.	Name of The Document	Brief Significance of The Document	Page No.
MANDATORY DOCUMENTS AS PRESCIBEED BY SEBI & EXCHANGES			
1.	CKYC, KRA Form and Account Opening Form	A. KYC Form-Documents Captures the basic information about the constituent and an instruction/check list.	1 - 3
		B. Document captures the additional information about the constituent relevant to Trading/Demat account and an instruction/check list.	4 - 6
2.	Tariff Sheet	Document detailing the rate/amount of brokerage & other charges levied on the clint for trading on stock exchange (s) & DP Service charges/fee Strucure.	9, 15
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT ALSO AVAILABLE ON <a href="http://www.jselsec.in">www.jselsec.in</a>
4.	Rights and Obligations	Document stating Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless techonology based trading).	
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
6.	Guidance note	Document detailing do's and dont's for trading on exchange, for the education of the investors.	
7.	Rights & Obligations of Beneficial Owners & Depository Participants:	Document stating the Rights & Obligation or Beneficial Owners and Depository Participants.	
VOLUNTARY DOCUMENT AS PROVIDED BY THE STOCK BROKER			
1.	Nomination Form	Nomination form for Trading & Demat Account	7
2.	Running Account Authorisation	POA Provided BY BO to JSEL Securities Ltd. for settlement/margin purpose	16
3.	Letter of Authority	Autho. to maintain running Account / Standard set / Standing Instr. / Mob. & E mail Decl.	16-17
4.	Power of Attorney for the purpose of settlement/Margin obligation	Authorisation Letter (If Applicable)	
5.	CDSL SMS Form Annexure	Terms & Condition -cum-Registration / Modification form for receiving SMS alert from CDSL	18 - 19
6.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency. ( copy for client available at <a href="http://www.jselsec.in">www.jselsec.in</a> )	
IMPORTANT INSTRUCTIONS			

- All details to be filled in capital Block letters in Black / Blue Ink Only.
- Email ID & Mobile number is mandatory for account related password and transaction details.
- Corrections in the KYC form should be counter signed.
- Strike off whichever option in the account opening form, is not applicable.
- All Originals to be produced for physical verification.
- If any proof of identity or address is in a regional language, then translation into English is required.
- Sole proprietor must make the application in his individual name & capacity.
- Name & address of the applicant mentioned in the KYC form, should match with the documentary proof submitted.

## CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)

**CKYC Reference No.:**

Valid Documents (Copies of all documents to be self attested)			Please Tick
<b>PAN Card</b>	Account Holder & Joint Holder's (If any)		
<b>Photograph</b>	One Colored Passport Size Photograph		
<b>Additional Proof of Identity (Any One)</b>	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & to be provided on given KRA Form)	
	b. Voter ID		
	c. Valid Passport		
	d. Valid Driving License		
	e. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Pls. Specify) _____		
<b>Proof of Address (Any One)</b> Permanent / Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used	
	b. Valid Passport		
	c. Valid Driving License    KRA document used		
	d. Unique Identification Number (UID) (Aadhaar)		
	e. Bank Statement/Passbook (not more than 3 month old must contain complete address of client)		
	f. Electricity Bill (not more than 3 months old)		
	g. Resident landline Tel. Bill (not more than 3 month		
	h. Other (Pls. Specify) _____		
<b>Bank Proof With MICR / IFSC Code (1st Holder only)</b>	a. Bank Statement / Bank Passbook with cheque left (not more than 3 month old)		
	b. Bank's Certificate on letter head of the Bank (ORIGINAL)		
	c. Cancelled Personalized Cheque left		
<b>Demat Proof (Any One) (1st Holder only)</b>	a. Client Master (CML copy duly attested by DP)		
	b. DP Statement / Transaction cum Holding Statement (Duly attested by DP)		
<b>Proof of Income (Any One) Mandatory for Derivatives Segments (1st Holder)</b>	a. Copy of latest ITR acknowledgment		
	b. In case of salary income - Salary Slip, Copy of Form 16		
	c. Net-worth certificate (Not more than 1 year old)		
	d. Copy of Demat Account Holding Statement (not more than 3 months old)		
	e. Bank Account Statement for last 6 month reflecting income		

### FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name					
		<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>	
1.	Are you Indian Resident Person ? (Refer Risk disclosure Document at <a href="http://www.jselsec.in">www.jselsec.in</a> )	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> <input type="checkbox"/> No	<input type="checkbox"/> <input type="checkbox"/> No	<input type="checkbox"/> <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (If above clause is no)				
3.	Specify Tax Identification No./Others				

Note If you are a U.S. Person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA/ CRS. This declaration form can be downloaded from [www.jselsec.in](http://www.jselsec.in) or call at KYC Helpdesk at 0141-2729134, 2724620 or write to [www.jselsecurities@yahoo.com](mailto:www.jselsecurities@yahoo.com)

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

**CENTRAL KYC REGISTRY | know your Customer (KYC) Application Form | Individual**

**Important Instructions :**

- A) Fields marked with\* are mandatory fields.  
B) Please fill the form in English and in BLOCK letters.  
C) Please fill the date in DD-MM-YYYY format.  
D) Please read section wise detailed guidelines / instruction at the end.
- E) List of state / U.T. code as per Indian Motor Vehicle Act. 1988 is available at the end.  
F) List of two character SO 3166 country codes is available at the end.  
G) KYC number of applicant is mandatory for update application.  
H) For particular section update, please tick ( ) in the box applicable before the section number and strike off the sections not required to be updated.

(To be filled by financial institution)

(Mandatory for KYC update request)

☐ Small

## 1. PERSONAL DETAILS (Please refer instruction A at the end)

Prefix		First Name				Middle Name				Last Name					
Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Gender*	<input type="checkbox"/>	M-male				<input type="checkbox"/>	F- Female				<input type="checkbox"/>	T - Transgender			
Marital status*	<input type="checkbox"/>	Married				<input type="checkbox"/>	Unmarried				<input type="checkbox"/>	Others			
Citizenship*	<input type="checkbox"/>	IN- Indian				<input type="checkbox"/>	Others (ISO 3166 Country Code								
Residential status*	<input type="checkbox"/>	Resident Individual				<input type="checkbox"/>	Non Resident Indian								
	<input type="checkbox"/>	Foreign National				<input type="checkbox"/>	Person of Indian Origin								
Occupation Type*	<input type="checkbox"/>	S-Service ( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector				<input type="checkbox"/>	Government sector )								
	<input type="checkbox"/>	O-Others ( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed				<input type="checkbox"/>	Retired				<input type="checkbox"/>	Housewife			
	<input type="checkbox"/>	B-Business				<input type="checkbox"/>					<input type="checkbox"/>	Student)			
	<input type="checkbox"/>	X-Not categorised													
												PHOTO			
												Signature / Thumb Impression			

☐ 2 TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED\* (Mandatory only if section 2 is ticked)

IS03166 Country Code of Jurisdiction of Residence\*

Tax Identification Number or equivalent (If issued by Jurisdiction)\*

ISO 3166 Country Code of Birth\*

### 3. PROOF OF IDENTITY (POI)\* (Please refer instruction C at the end)

*(Certified copy of any of the following Proof of Identity [Pol] needs to be submitted)*

- |  |                      |                             |                      |   |                      |   |                      |
|--|----------------------|-----------------------------|----------------------|---|----------------------|---|----------------------|
| <input type="checkbox"/> A. Passport Number  | <input type="text"/> | Passport Expiry date        | <input type="text"/> | - | <input type="text"/> | - | <input type="text"/> |
| <input type="checkbox"/> B. Voter ID Card  | <input type="text"/> |                             |                      |   |                      |   |                      |
| <input type="checkbox"/> C. PAN Card   | <input type="text"/> |                             |                      |   |                      |   |                      |
| <input type="checkbox"/> D. Driving Licence  | <input type="text"/> | Driving Licence Expiry date | <input type="text"/> | - | <input type="text"/> | - | <input type="text"/> |
| <input type="checkbox"/> E. UID (Aadhaar)  | <input type="text"/> |                             |                      |   |                      |   |                      |
| <input type="checkbox"/> F. NREGA Job Card   | <input type="text"/> |                             |                      |   |                      |   |                      |
| <input type="checkbox"/> Z. Others (any document notified by the central government) | <input type="text"/> | Identification Number       | <input type="text"/> |   |                      |   |                      |
| <input type="checkbox"/> S. Simplified Measures Account - Document Type code         | <input type="text"/> | Identification Number       | <input type="text"/> |   |                      |   |                      |

#### 4. PROOF OF ADDRESS (POA)

☐ 4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

*(Certified copy of any one of the following proof of Address (PoA) needs to be submitted)*

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	Registered Office	Unspecified
Proof of Address	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhar)		
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job card	<input type="checkbox"/> Others		
	<input type="checkbox"/> Simplified Measures Account Document Type code				

### Address

Line 1*
Line 2*
Line 3*
District*

Pin /Post Code\*      State / U.I. Code\*      City / Town / Village\*      ISO3166 Country Code\*

☐ **4. 2. CORRESPONDENCE / LOCAL ADDRESS DETAILS\* (Please see instruction E at the end)**

☐ Same as Current/Permanent/Overseas Address details (In case of multiple correspondence / local addresses fill **Annexure A 1**)

Line 1\*

Line 2\*

Line 3\*

District\*  Pin /Post Code\*  State / U.T. Code\*  City / Town / Village\*  ISO3166 Country Code\*

☐ **4.2 ADDRESS IN THE JURISDICTION DETAIL APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES\* (Applicable if section 2 ticked)**

☐ Same as Current/Permanent/Overseas Address details ☐ Same as Correspondence /Local Address details

Line 1\*

Line 2\*

Line 3\*

District\*  Pin /Post Code\*  State / U.T. Code\*  City / Town / Village\*  ISO3166 Country Code\*

☐ **5. CONTACT DETAILS (All communications will be sent on provided Mobile no./Email-ID) (Plases refer instruction F at the end)**

Tel. (Off)  -  Tel. (Res)  -  Mobile  -

Fax. (Off)  -  Email ID

☐ **6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill Annexure B 1) (please refer instruction**

☐ Addition of Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available\*)

Related Person Type\* ☐ Guardian of Minor ☐ Assignee ☐ Assignee Authorized Representative

Name\*

(If KYC number and name are provided, below details of section 6 are optional)

☐ **PROOF OF IDENTITY ((POI) OF RELATED PERSON\* (Please see instruction (H) at the end)**

(certified copy of any one of the following proof of identity [poi] needs to be submitted)

☐ A. Passport Number  Passport Expiry date  -  -

☐ B. Voter ID Card

☐ C. PAN Card

☐ D. Driving Licence  Driving Licence Expiry date  -  -

☐ E. UID (Aadhaar)

☐ F. NREGA Job Card

☐ Z. Others (any document notified by the central government)  Identification Number

☐ S. Simplified Measures Account - Document Type code  Identification Number

☐ **7. REMARKS (If any)**

☐ **8. APPLICANT DECLARATION**

☐ I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that I may be held liable for it.

☐ I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

DATE:  -  -  PLACE

(Signature / Thumb impression)

Signature / Thumb Impression of Applicant

☐ **9. ATTESTATION/FOR OFFICE USE ONLY**

Documents Receive ☐ Certified Copies

**KYC VERIFICATION CARRIED OUT BY**

Date  -  -

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

(Employee Signature)

**INSTITUTION DETAILS**

Name

Code

(Institution Stamp)

# JSEL Securities Limited


Stock Exchange Building JLN Marg, Malviya Nagar, Jaipur-302 017

## KNOW YOUR CLIENT (KYC) I APPLICATION FORM (FOR INDIVIDUALS ONLY)

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

Application No. :

### A. IDENTITY DETAILS

1. Name of Applicant				<b>PHOTOGRAPH</b>  Paste colour Passport Size Photo & Sign across it   signature of 1st Holder
Father's/ Spouse Name				
2. a. Mother Name	b. Mother's Maiden Name			
3. a. Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
b. Date of Birth	DD / YY / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married	
4. Nationality	<input type="checkbox"/> Indian	5. Status	<input type="checkbox"/> Resident Individual	
6. PAN		7. Aadhar		
8. Specify the proof of identity submitted :				

### B. ADDRESS DETAILS

1. Correspondence Address			
City/Town/ village		Pin Code	
State		Country	
2. Contact/Mobile No.		Tel (Res.)	
Tel (Off.)		Email id	
3. Specify the proof of address submitted for Correspondence Address:			
4. Permanent Address (if different from above correspondence address)	Same as correspondence address		
City/Town Village		Pin Code	
State		Country	
5. Occupation (Please tick)	<input type="checkbox"/> S- Service ( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Sector) <input type="checkbox"/> O-Other ( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business n X-Not Categorised		

### DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue misleading or misrepresnting, I am/we are aware that I/we may be held liable for it.

Place :	
Date :	DD / MM / YYYY



Signature of Applicant

### FOR OFFICE USE ONLY

Details of	Documents verified with Originals & In-Person Verification done by	JSEL Securities Limited
Employee/SB/AP		
Name & E Code		
Designation		
Date / Place	DD/MM/YYYY PLACE	
Signature		

### JSEL Securities Limited

Stock Exchange Building JLN Marg,  
Malviya Nagar, Jaipur-302 017

# Additional KYC Form for opening a Demat & Trading Account

Annexure 2.1

## For Individuals

### JSEL SECURITIES LIMITED

(To be filled by the Depository Participant)

Application No.									Date	D	D	M	M	Y	Y
DP Internal Reference No.															
DP ID	1	2	0	2	9	2	0	0	Client ID						

(To be filled by the applicant in **BLOCK LETTER** in English))

I/We request you to open a demat account in my/our name as per following details :-

## Holders Details

<b>Sole/first Holder's Name</b>	PAN														
	UID														
	\$ UCC														
	\$ Exchange Name & ID														
<b>Second Holder's Name</b>	PAN														
	UID														
<b>Third Holder's Name</b>	PAN														
	UID														

<b>Name *</b>	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership firm, Unregistered Trust, etc., should be mentioned above.	

Status	Sub-Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual margin Trading A/C (Mantra) <input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF . AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (Specify) .....
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI- Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Other (Specify) .....
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (Specify) .....

## Details of Guardian (in case the account holder in minor)

Guardian's Name	PAN
Relationship with the applicant	

## STANDING INSTRUCTION / OTHER DETAILS / EMAIL - SMS ALERT / CONTRACT NOTE PREFERENCE

I/We instruct the DP to receive each and every credit in my / our account	<input type="checkbox"/> Yes <input type="checkbox"/> No [Automatic Credit]
To send Electronic Transaction, Holding Statement, ECN / Bill & all correspondence at 1st Holder Email ID stated in KYC	<input type="checkbox"/> Yes <input type="checkbox"/> No
" I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Dividend / Interest to receive in bank account stated in KYC via ECS	<input type="checkbox"/> Yes <input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Annual Report in Electronic mode	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Rights & Obligations, RDD, Guidance Note, Policies & Procedures & all non mandatory documents in electronic and also displayed for information on website www.jselsec.in	<input type="checkbox"/> Yes <input type="checkbox"/> No
We Wish / Not Wish open an BSDA account	<input type="checkbox"/> Yes <input type="checkbox"/> No Optional To receive
Delivery Instruction Slip	<input type="checkbox"/> Yes <input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Email & Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent
Note: To register for Easi please visit website www.cdslindia.com, where BO can view ISIN balances, transaction & portfolio online.	

Bank Details [Dividend Bank Details]

Bank Code (9 digit MIRC Code)																			
IFS Code (11 Character)																			
Account Number																			
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (Specify).....																		
Bank Name																			
Branch Name																			
Bank Branch Address																			
City				State						Country	PIN Code								

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO

(iii) Photocopy of the Passbook having name and address of the BO, (or)

(iv) Letter from the Bank.
- In case of options (ii), (iii) and (iv) above, MIRC code of the branch should be present / mentioned on the document.

COMMUNICATION MODE

A-FIRST HOLDER ☐

B-ALL HOLDERS ☐

MODE OF OPERATION

A-JOINTLY (ALL HOLDERS) ☐

B-ANY ONE OR SURVIVOR ☐

Other Details Gross Annual Income Details	Income Range per annum :																		
	<input type="checkbox"/> Up to Rs 1, 00, 000 <input type="checkbox"/> Rs 1,00, 000 to Rs 5,00 000 <input type="checkbox"/> Rs 5,00 000 to 10,00 000																		
	<input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000																		
	Net worth as on (Date)	D	D	D	D	Y	Y	Y	Y										
[Net worth should not be older than 1 year]																			
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Other (Specify).....																		
Please tick, If applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)																		
Any other information :																			

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO.. +91 ..... [(Mandatory, If you are giving Power of Attorney (POA) (If POA is not granted & you do not wish to avail of this facility, cancel this option.
Easi	To register for easi, please visit our <a href="http://www.cdslindia.com">www.cdslindia.com</a> Easi allow a BO to view his ISIN balances, transactions and value of the portfolio online.

DECLARATION

I/We declare that the particulars furnished above are true & correct to the best of my/our knowledge & belief. I/We agree & undertake to intimate the DP / Stock broker any change (s) in the details therein immediately. I/We further agree that any false/ misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I/We confirmed having received, read/been explained and understood the content of the Rights and Obligations Document' for trading and DP Services, Risk Disclosure Document', Guidance Note' and Agree to abide by and be bound by the same and by the Bye-Laws as are in force from time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I/We have also the informed that the standard set of documents has been displayed for information on stock broker's designated web site i.e. [www.jselsec.in](http://www.jselsec.in)

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

Place: .....

Date : 

D

D

M

M

Y

Y

Y

Y

AP Seal & Signature


DISCLOSURE INFORMATION (FOR JSEL SECUTIRIES LTD.)

To,  
Sir, This is to inform you that we do client based trading in Bombay Stock Exchange Ltd. (BSE)  
Thanks and best regards.  
For **JSEL Securities Limited**  
Authorised Signatory / Director  
I acknowledge the receipt of information given above by **JSEL Securities Limited** that they do Client base trading and Pro-account trading.  
**Client Name :**  
(Note : To be signed by person himself/herself not be signed by his/her attorney/authorised person etc.

**DEPOSITORY ACCOUNT DETAILS (DP 1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)**

	DP 1 Details	DP 2 Details
Depository	<input type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	JSEL Securities Limited	
Client Name		
DP ID	12029200	
BO ID		

**TRADING PREFERENCES** \*Please sign in the relevant boxes where you trade. The segment not chosen should be struck off by the client.

Exchanges	Segment Client	Signature
NSE/ BSE / MSEI	Cash	Signature of Applicant  <span style="float: right;">Signature of 1st Holder</span>

**PAST ACTIONS**

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years.

No. If yes, please specify**INTRODUCER DETAILS**

Status of Introducer	<input type="checkbox"/> Sub-broker/AP	<input type="checkbox"/> Employee	<input type="checkbox"/> Existing Client	<input type="checkbox"/> Others ( Specify )
Introducer Name	SB/AP/Emp/Client Code:			
Address of SB/AP:				
Mobile No.	Relation CYKC No :			

Signature of the Introducer .....

**DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP)/OTHER STOCK BROKER**

If Yes, please specify		
Name of Broker/SB/AP	SEBI Registration No.	
Name of Exchange	Trading Code	
Details of disputes/dues pending from/to such stock broker/sub-broker		
Whether you are a Member / Sub-broker / AP of any Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No		

**INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS**

Experience	Number of years of Investment /Trading Experience <input type="checkbox"/> <input type="checkbox"/>	
Gross Annual Income	<input type="checkbox"/> Below Rs. 1 Lac <input type="checkbox"/> Rs. 1-5 Lac <input type="checkbox"/> Rs. 5- 10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25-50 Lac <input type="checkbox"/> Rs.50 Lacs-1 crore <input type="checkbox"/> More than Rs. 1 crore. or Net Worth in ₹ as on date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others	
Guardian Details	Name:	PAN
	CKYC Reference No.:	Relation
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> Yes <input type="checkbox"/> No		

**DECLARATION**

I/We declare that the particulars furnished above are true & correct to the best of my/our knowledge & belief. I/We agree & undertake to intimate the DP / Stock broker any change (s) in the details therein immediately. I/We further agree that any false/ misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I/We confirmed having received, read/been explained and understood the content of the Rights and Obligations Document' for trading and DP Services, Risk Disclosure Document', Guidance Note' and Agree to abide by and be bound by the same and by the Bye-Laws as are in force from time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I/We have also informed that the standard set of documents has been displayed for information on stock broker's designated web site i.e. www.jselsec.in



Signature of 1st Holder



Signature of 2nd Holder



AP Seal &amp; Signature

## NOMINATION FORM

Nominee Reg. Date:

Nominee Reg. No:

☐

I/We wish to nominate. [As per details given below]



### NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person (s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

Nomination can be made up to three nominees in the account.		Details of 1 st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1. Name of the nominee(s) (Mr./Ms.)				
2. Share of each Nominee	Equally <input type="checkbox"/>	%	%	%
	[If not equally, please specify percentage]	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3. Relationship with the Applicant (If Any)				
4. Address of Nominee(s)				
5. Mobile/Telephone No. of nominee(s)				
6. Email ID of nominee(s)				
7. Nominee Identification details- [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID				

**Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :**

8. Date of Birth {in case of minor nominee(s)}			
9. Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10. Address of Guardian(s)			
11. Mobile/Telephone no. Guardian			
12. Email ID Guardian			
13. Relationship of Guardian with nominee			
14. Guardian Identification details [Please tick any one of following and Provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

Name(s) of holder(s)		Signature(s) of Holder
Sole/First Holder/Guardian (in case sole holder is minor )(Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Mr.)		

### Signature of Witness of Nomination

Name of the Witness	Address	Signature of Witness

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

**Declaration Form for opting out of nomination**  
**[ Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 date July 23, 2021 on**  
**Mandatory Nomination for Eligible Trading and Demat Accounts]**

To,	Date
UCC/DP ID                      1 2 0 2 9 2 0 0	
Client ID (Only for Demat account)	
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	
<p>I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my / our trading/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents/ information for claim ing of assets held in my / our trading / demat account, which may also include documents issued by Court or other such cometent authority, based on the value of assets held in the trading / demat account.</p>	
Name and signature of Holder(s)*	
<div style="display: flex; justify-content: space-between;"> <div>1. _____</div> <div>2. _____</div> <div>3. _____</div> </div>	
 Sole/First Holder Name	 Second Holder Name
 Third Holder Name	

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

**(To be filled by DP)**

Nomination Form accepted and registered wide Registration No.....  
Dated.....

For Depository Participant (Authorised Signatory)

===== (Please Tear here) =====

**Acknowledgment Receipt**

Received nomination from :

DP ID	1   2   0   2   9   2   0   0	Client ID	<div style="display: flex; justify-content: space-between;"> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>
Name			
Address			
Nomination in favor of <b>First - Nominee</b>			
<b>Second - Nominee</b>			
<b>Third - Nominee</b>			
Non - Nominee	<input type="checkbox"/> Does not wish to nominate		
Registration No.	Registered on		Date
			<div style="display: flex; justify-content: space-between;"> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>

**Depository Participant Seal and Signature**

Residual securities in case of multiple nominees please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

**Instructions:**

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non - resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. ON cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

**BROKERAGE DETAILS**

Margin Collected :

	CASH SEGMENT TARIFF				
	Trading			Delivery	
	Minimum Rs.	Percent		Minimum Rs.	Percent
		1st side	2nd side		
General Rates					
Special Rates					

	EQUITY FUTURES				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd side		
General Rates					
Special Rates					

	EQUITY OPTIONS				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd side		
General Rates					
Special Rates					



Client Signature



AP Seal & Signature

**JSEL SECURITIES LIMITED**

Application No. :

**1. Name of Applicant** (Please write complete name as per Certificate of Incorporation/Registration; leaving one box blank between 2 words. Please do not abbreviate the Name)

**5. Permanent Account Number (PAN) (MANDATORY)**  Please enclose a duly attested copy of your PAN Card

### 1. Address for Correspondence

## 2 Contact Details

**3 Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**

- \*Not more than 3 months old. **Validity/Expiry date of proof of address submitted**
- |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

**4. Registered Address (If different from above)**

[illegible]

**5 Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**

- \*Not more than 3 months old. **Validity/Expiry date of proof of address submitted**

**1. Name, PAN, DIN/Aadhar Number, Residential address and photographs of Promoters/Partners/Karta/Trustees/Whole time directors**  
(Please use the Annexure to fill the details)

**2. Any other information :**

Date : \_\_\_\_\_

## AMC/Intermediary name OR code

- Seal/Stamp of the intermediary should contain

Date :

## For Non-Individuals

## JSEL SECURITIES LIMITED

(To be filled by the Depository Participant)

Application No.								Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																
DP ID	1	2	0	2	9	2	0	0	Client ID							

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

**Holders Details**

Sole / First Holder's Name		Search Name		PAN												
				*UCC												
				*Exchange Name & ID												
				PAN												
Second Holder's Name				UID												
				PAN												
Third Holder's Name				UID												

**\*Exchange ID**

<b>Name *</b>																
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.																

**Type of Account** (Please tick whichever is applicable)

Status										Sub – Status							
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify) _____										<b>To be filled by the DP</b>							
SEBI Registration No. (If Applicable)					SEBI Registration date	D	D	M	M	Y	Y	Y	Y				
RBI Registration No. (If Applicable)					RBI Approval date	D	D	M	M	Y	Y	Y	Y				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____																

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)	

**Clearing Member Details (To be filled by CMs only)**

Name of Stock Exchange			
Name of CC / CH			
Clearing Member Id		Trading member ID	

I / We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time ]	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Bank Details [Dividend Bank Details]

Bank Details [Divident Bank Details]															
IFS Code (11 Character)															
Account Number															
Account Type		<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (Specify).....													
Bank Name															
Bank Branch Address															
City		State						Country	PIN Code						

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

• In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details

Gross Annual Income Details	<b>Income Range per annum :</b>													
	<input type="checkbox"/> Up to Rs 1, 00, 000				<input type="checkbox"/> Rs 1,00, 000 to Rs 5,00 000				<input type="checkbox"/> Rs 5,00 000 to 10,00 000					
	<input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000				<input type="checkbox"/> More than Rs 25,00,000									
	Net worth as on (Date)		D	D	D	D	Y	Y	Y	Y				
<i>[Net worth should not be older than 1 year]</i>														
Please tick If any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) . Please provide details as per Annexure 2.2 A.														
Any other information :														

I/We have received and read the - (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/ We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me/ us or suppression of any material information will render my account liable for termination and suitable action.

	Sole/ First Authorised Signatory	Second Authorised Signatory	ThiedAuthorised Signatory
Name			
Designation			
Signature			

(In case of more authorised signatories, please add annexure)

<b>SMS Alert Facility</b> Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO.. +91 ..... [(Mandatory, If you are giving Power of Attorney (POA) (If POA is not granted & you do not wish to avail of this facility, cancel this option.)
Easi	To register for easi, please visit our <a href="http://www.cdslindia.com">www.cdslindia.com</a> Easi allow a BO to view his ISIN balances, transactions and value of the portfolio online.

**Details of Politically Exposed Persons (PEP)/ Related to Politically Exposed Person (RPEP). [ For-non-individual]**

Name of holder \_\_\_\_\_ PAN of the holder \_\_\_\_\_

Sr.No	Name of the Authorized signatories /Promoters /Partners / Karta/ Trustees /Whole Time Directors	Relation with the holder (i.e. promoters, whole time directors etc	Please tick the relevant option.
			<input type="checkbox"/> <b>PEP</b> <input type="checkbox"/> <b>RPEP</b>
			<input type="checkbox"/> <b>PEP</b> <input type="checkbox"/> <b>RPEP</b>
			<input type="checkbox"/> <b>PEP</b> <input type="checkbox"/> <b>RPEP</b>
			<input type="checkbox"/> <b>PEP</b> <input type="checkbox"/> <b>RPEP</b>
			<input type="checkbox"/> <b>PEP</b> <input type="checkbox"/> <b>RPEP</b>

Name & Signature of the Authorised Signatories Date \_\_\_\_/\_\_\_\_/\_\_\_\_ **PEP:** Politically Exposed Person **RPEP:** Related to politically Exposed Person

(Signatures should be preferably in black Ink)

..... (Please Tear Here) .....

**Acknowledgment Receipt :**

**Application No. :**

**Date :**

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder
Name of Second Holder
Name of Third Holder

Depository Participant Seal and Signature

..... (Please Tear Here) .....

\$ Refer Communique no. CDSL/OPS/DP/POLCY/2022/705 dated December 12, 2022.

### Details of Promoters/Partners/Karta/Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant.....	PAN of the Applicant
	<input type="text"/>

S. No.	PAN	Name	DIN (For Directors)/ Aadhaar Number (For Others)	Residential/ Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph.

Name & Signature of the Authorised Signatory(ies) \_\_\_\_\_ Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

## CHARGES FOR DEPOSITORY SERVICES

### Tariff of D.P. for Investor & Trader

Account No : 12029200 .....


Sl. No.	Item	Charges for Investor	Charges for Traders Corporate	Chargers for NRI Scheme	BSDA1 (Holding value up to 50000)	BSDA2 (Holding Value between 50001 to 200000)
1.	Stamp Charges	NIL	NIL	NIL	NIL	NIL
2.	One time Maintenance Charge	Rs. 1400/- for 7 yrs.				
3.	Annual Maintenance	Rs. 300/-	Rs 1250/- per Annum	Rs 1000/- per Annum	NIL	Rs. 100/-
4.	Purchase Off. Mkt.	NIL	NIL	NIL	NIL	NIL
5.	Sales Mkt. Transaction Charges	0.02% (Min. Rs 15/- Max. Rs 25/-)	0.02% (Min. Rs 12/- Max. Rs 20/-)	0.02% (Min. Rs 15/- Max. Rs 25/-)	Rs. 75/-	Rs. 50/-
6.	Dematerialisation	Rs. 75/- Postage +Rs 10/- per Certificate	Rs. 75/- Postage +Rs 10/- per Certificate	Rs. 75/- Postage +Rs 10/- per Certificate	Rs. 75/- Postage +Rs 10/- per Certificate	Rs. 75/- Postage +Rs 10/- per Certificate
7.	Rematerialisation	Rs. 20/- per 100 Shares	Rs. 15/- per 100 Shares	Rs. 20/- per 100 Shares	Rs. 20/- per 100 Shares	Rs. 20/- per 100 Shares
8.	Creation/confirmation of Pledge	Rs. 50/- per ISIN	Rs. 50/- per ISIN	Rs. 50/- per ISIN	Rs. 50/- per ISIN	Rs. 50/- per ISIN
9.	Pledge Closure / Confirmation of Pledge Closed	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN
10.	Invocation of Pledge	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN	Rs. 25/- per ISIN
11.	First DIS Book	NIL	NIL	NIL	NIL	NIL
12.	Additional instruction book Charges	Rs. 40/- per Book for 20 leaves	Rs. 40/- per Book for 100 leaves	Rs. 40/- per Book for 20 leaves	First 2 DIS is free Rs 50/- for 20 leaves.	First 2 DIS is free Rs 50/- for 20 leaves.
13.	KYC Modification	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
14.	Account addition/ modification charges	Rs. 25/-	Rs. 25/-	Rs. 25/-	Rs. 25/-	Rs. 25/-
15.	In Case of non payment of bill dues with in 15 days of due date interest shall be charged	@Rs. 1.08% per month of the outstanding dues Rs 25/-min. whichever is higher.	@Rs. 1.08% per month of the outstanding dues Rs 25/-min. whichever is higher.	@Rs. 1.08% per month of the outstanding dues Rs 25/-min. whichever is higher.	@Rs. 1.08% per month of the outstanding dues Rs 25/-min. whichever is higher.	@Rs. 1.08% per month of the outstanding dues Rs 25/-min. whichever is higher.

#### General Clauses :

- One time AMC for 7 years ( First yearAMC Charges=Rs. 1400/-, Next 6 Years AMC Free)
- JSEL Securities Ltd. reserves the right to revise the tariff structure by giving 30 days notice.
- Value of transaction will be in accordance with the rates to be provided by CDSL.
- In case of delay in the payment of charges, the demat account will be frozen for all operations till such time all dues are cleared subject to 30 days notice from the payment due date.
- Out of pocket expenses incurred on the dispatch of securities of Dematerialisation and communication charges incurred on out station calls/faxes made specifically on request of client will be charged on actual basis.
- STT, SEBI turnover fees, Stamp duty, GST, Transaction charges and other statutory levies(if any) shall be levied as per prevailing rates.

#### Other Terms :

- Transaction and Holding statement will be sent digitally.
- Online client Master charges Rs.25/- per instance after initial account opening.
- Any services not quoted above will be charged separately. GST applicable would be charged extra.
- Fees scheduled is based on existing CDSL charges and is subjected to change at the sole discretion of JSEL Securities Ltd.
- Payments through Cheque/DD should be in favour of "JSEL Securities Ltd." payable at Jaipur.
- Account Holding Charges for print Rs. 5/- Per Page DECLARATION I hereby declare and abide myself from the above charges schedule and respective terms and conditions relating to the account.
- In case of late bill payment, BO shall be liable to pay interest @13% per annum.

	First/Sole Holder	Second Holder	ThirdHolder
Name			
Signature 			



### STANDING INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds and securities on running accounts basis instead of bill to bill" settlement basis, unless I/we specifically request you for a pay out of available free funds or securities in the accounts. you may settle the accounts at Monthly/Quarterly (strike off Whichever is not applicable, else the option will be consider as Quarterly) or at such other intervals as SEB/ Stock Exchanges may specify from time to time. I/We further authorize you to retain securities and/or funds as may be permitted by stock Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable. on my accounts, while settling accounts. I/We further authorize.

Client Code : \_\_\_\_\_



\_\_\_\_\_  
Signature of 1st Holder

### LETTER OF AUTHORITY

To,  
**JSEL Securities Limited**  
Stock Exchange Building JLN Marg,  
Malviya Nagar, Jaipur-302 017

Dear Sir/Madam,

I hereby authorise Mr./Ms. \_\_\_\_\_ to trade on my behalf of me  
in my trading account code \_\_\_\_\_ I will be fully responsible for all traders done  
by authorised person in my trading account code. Further Mr./Ms \_\_\_\_\_  
is my \_\_\_\_\_ and he/she is also authorised to collect and deliver Cheque / Bill's / Contract notes / deliver instruction / or any  
other required documents as the case may be.

Client Code : \_\_\_\_\_



\_\_\_\_\_  
Signature of 1st Holder

### Whether you wish to receive Mandatory (Standard Set) documents as prescribed by SEBI & Exchanges i.e.

- (I) Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology based trading,
- (ii) Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories,
- (iii) Uniform Risk Disclosure Documents (for all segments/ exchanges); and
- (iv) Guidance Note detailing Do's and Don'ts for trading on stock exchanges, through  
☐ Physical Form      ☐ Electronic Form (through Email as provided in KYC Application Form)

### For Receiving Statement of Account in Electronic form :

- I. Client must ensure the confidentiality or the password of the email account.
- II. Client must promptly inform the Participant if the email address has changed.
- III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.



\_\_\_\_\_  
Signature

[Please tick ( ✓ ) wherever applicable]

Trading Code

Mobile No.....E-mail ID:.....

I/We hereby declare that the aforesaid mobile number or E-mail ID belongs to me:

I/we hereby declare that the aforesaid mobile number or E-mail ID belongs to My Family Member .....

(Relationship with client) and also I/We give my consent to make available my/our trade information to my Family Member. ( spouse, dependent children and dependent parents):

 Signature .....

**Guardian Details (Where sole holder is a minor)**

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name:

Relationship of Guardian with minor:

PAN

**DIS OPTION**

☐ Option 1 : I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / us CDSL account through I / We have issued a Power of Attorney (POA)/ executed PMS agreement in favour of / with \_\_\_\_\_ (name of Attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through such Clearing Member / by PMS Manager.

☐ Option 2 : I/We do not require the Delivery Instruction Slip (DIS) for the time being, since I/We have issued a POA / executed PMS agreement in favour of with \_\_\_\_\_ (name of the attorney / Clearing Member / PMS Manager) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through such Clearing Member / by PMS Manager. However the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my our request at any later date.



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

**JSEL Securities Limited**

Registered Office : Stock Exchange Building JLN Marg, Malviya Nagar, Jaipur-302 017

**Corporate Office Address :** Stock Exchange Building JLN Marg, Malviya Nagar, Jaipur-302 017

Tel : 0141-2729134, 2724620, 2729061, 2729009

Email : jselsecurities@yahoo.com, jselsec@yahoo.co.in, jseldp@yahoo.com, info@jselsec.in

Website : www.jselsec.in

**Member :** Bombay Stock Exchange Ltd. / CDSL - Depository Participant

**Clearing Member Name & Address :** ICCL, Mumbai

**Trading Member Details :** SEBI Registration No : INZ000248937

CLG No. : 287

DP ID No. : 12029200, SEBI REG. NO. IN-DP-CDSL-191-2002

**Compliance Officer Name :** Alok Nigam, **Phone :** 0141-2729061, 2729134, **Email id :** jselsecurities@yahoo.com

For any grievance/dispute please contact **JSEL Securities Limited** at the above address or email id: jselsecurities@yahoo.com,

**Phone :** 0141-2729061, 2729134 in case not satisfied with the response, please Contact the concerned exchange(s) at

BSE : www.bseindia.com, Contact : 022-22728097, Email : is@bseindia.com

**Registered Investor Complaint Through SEBI online portal :** https://scores.gov.in

Phone no. 022 - 6598190 / 6598192 BSE : is@bseindia.com and Phone no. 022 - 22728097

**Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**  
**[SMS Alerts will be sent by CDSL to BOs for all debits] Annexure 2.4**

**Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

**Availability:**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts:**

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility. 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time.

I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time. I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BO ID.:

1 2 0 2 9 2 0 0

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile No. On which messages are to be sent :

+ 9 1

Email ID : \_\_\_\_\_

Above Mobile No. & Email ID Is Registered in the Name of \_\_\_\_\_

And related to me as \_\_\_\_\_ (Self / Spouse / dependent child / dependent parent / others)



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

Date : \_\_\_\_\_

Place : \_\_\_\_\_

## SIMPLE DO'S AND DONT'S

1. Verify your transaction statement carefully for all debits and credits in your account. In case of any unauthorized debit or credit, inform your DP or CDSL.
2. Intimate any change of address or change in bank account details to your DP immediately.
3. While accepting the Delivery Instruction Slip (DIS) book from your DP, ensure that your BO ID is pre-stamped on all the pages along with the serial numbers.
4. Keep your DIS book safely and do not sign or issue blank or incomplete DIS slips.
5. Strike out the empty space, if any, in the DIS, before submitting to DP.
6. For market transactions, submit the DIS ahead of the deadline time. DIS can be issued with a future execution date.
7. The demat account has a nomination facility and it is advisable to appoint a nominee to facilitate your heirs in obtaining the securities in your demat account, on completion of the necessary procedures.
8. To open and operate your demat account, copy of PAN card of all account holders is to be submitted to the DP along with original PAN card, for verification.
9. Register for CDSL's SMART (SMS Alerts Related to Transactions) facility. If any unauthorized debit is noticed, the BO should immediately inform CDSL and the Main DP, in writing. An email may be sent to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com).
10. Register for CDSL's Internet based facility "easi" to monitor your demat account yourself. Contact your DP or visit CDSL's website: [www.cdslindia.com](http://www.cdslindia.com) for details.
11. In order to receive all the credits coming to your demat account automatically, you can give a one-time, standing instruction to your DP.
12. Before granting Power of Attorney to anyone, to operate your demat account, carefully examine the scope and implications of powers being granted.

### Instructions to the Applicants (BOs) for account opening :

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a special Executive Magistrate / Special Executive Officer under his/her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self- c- le copy thereof, must be lodge along the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strick off whichever option, in the account opening form, is not applicable.



## VOLUNTARY

### Authorisation for Debiting the Trading Accounts / Depository Charges for & Undertaking for the Payment of Interest in case of occurrence of Debit Balance

To,

**JSEL SECURITIES LIMITED**

Depository Services, JSEL.... Jaipur

I/We.....residing at.....

maintain Trading Accounts ("the Said Account") with JSEL companies incorporated and registered under the companies Act. 1956 and having their Registered Office at Stock Exchange Building J.L.N. Marg, Malviya Nagar, Jaipur -302017 ..... Hereinafter called the brokers (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in title.

The Said Accounts are at .....branch and the Trading Account no is ..... I/We authorise the JSEL to debit the Said Accounts for the Service Charges related to Depository Services payable by the below mentioned persons.

S. No.	Name	Depository A/c.

SIGNED AND DELIVERED

Name .....



Name .....

Witnessed

#### Specimen Signature

DP ID	1	2	0	2	9	2	0	0	Client ID									
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature (In black ink only)			

#### Tariff for BSDA

Basic Services Demat Account (BSDA) (Annual Maintenance Charges)	Nil upto Holding Value Rs 50,000/-
	Rs. 100- If Value of holding Rs. 50,001/- to 2,00,000/-
	And if value of Holding More Than Rs. 20,00,000/- Then Normal AMC Applicable



Place .....

Date : .....

## VOLUNTARY

### CLIENT DEFAULTER DECLARATION

I,.....having PAN No. ....do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/Various Exchanges/Regulatory bodies/CIBIL (Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/Statement is true and correct.



Client Name : .....

Trading Code : .....

Date : .....

[ Note : To be signed by person himself/herself not to be signed by his/her attorney/authorised person etc.]

### Aadhaar Consent Letter

To,  
The Central Depository Services (India) Limited  
Lower Parel (E), Mumbai - 400013

Date : .....

**Subject : Consent to use Aadhaar Card Copy.**

**Ref. : Request for open a trading / demat account in NSE/BSE/NCDEX/MCX.**

Dear Sir,

I the undersigned .....understand that I can submit either of the following document copy as an address proof.

Aadhaar Card

I willingly give my consent to JSEL to accept copy of my Aadhaar Card and proceed with the referred application.

Thanking you.  
Yours Sincerely.

Signature of Client



Name of Client : .....

Client Code : .....

## **Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories**

### **General Clause**

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications /Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

### **Beneficial Owner information**

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

### **Fees/Charges/Tariff**

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

### **Dematerialization**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

### **Separate Accounts**

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and ByeLaws/Operating Instructions/Business Rules of the Depositories.

### **Transfer of Securities**

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. The stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.

### **Statement of account**

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

### **Manner of Closure of Demat account**

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat

account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

**Default in payment of charges**

- 20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

**Liability of the Depository**

- 22. As per Section 16 of Depositories Act, 1996,
  - 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
  - 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

**Freezing/ Defreezing of accounts**

- 23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

**Redressal of Investor grievance**

- 25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

**Authorized representative**

- 26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Law and Jurisdiction**

- 27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Byelaws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.
- 31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document



Place .....

Date : .....

## **Most Important Terms and Conditions (MITC)**

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.



Signature

## FACTA & CRS Declaration - Individual

PAN	<input type="text"/>	Trading	<input type="text"/>	DP Code	<input type="text"/>
NAME	<input type="text"/>				
Place of Birth	<input type="text"/>	Country of Birth	<input type="text"/>		
Nationality	<input type="text"/>				
Annual Income	<input type="checkbox"/> Below Rs. 1 Lac	<input type="checkbox"/> Rs 1 Lac to 5 Lac	<input type="checkbox"/> Rs 5 Lac to 10 Lac		
	<input type="checkbox"/> Rs. 10 Lac to 25 Lac	<input type="checkbox"/> Rs. 25 Lac to 1 Crore	<input type="checkbox"/> > 1 Crore		
Net Worth Amount Rs .....	Net Worth as on		<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>(Net worth should be older than 1 year)</i>					
Occupational	<input type="checkbox"/> Business	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Professional	<input type="checkbox"/> Government Service	<input type="checkbox"/> Public Sector
Detail	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Retired	<input type="checkbox"/> Forex Dealer
					<input type="checkbox"/> Others
Politically Exposed Person (PEP)	<input type="checkbox"/>	Related to Politically Exposed Person (RPEP)	<input type="checkbox"/>		
Are you a tax resident of any country other than India	<input type="checkbox"/> Yes	<input type="checkbox"/> No			

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below

Sr. No.	Country	Tax identification Number	Identification Type (Tin or Other, Please Specify)
1.			
2.			
3.			

## DECLARATION

I have read the understood the information requirements and the terms & conditions, mentioned in this from (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this from in true, correct and complete. I hereby agree and confirm to inform JSEL Securities Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provision of FATSA & CRS on Automatic Exchange of information (AEQI)

Sign here : (I)



--

Date \_\_\_\_\_

--	--	--	--	--	--	--	--

Place : .....

# FACTA & CRS Declaration - Non-Individual

Details	UB01	UB02	UB03
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part			
Company of Tax Residency*			
PAN *			
Address			
	Zip <input type="text"/>	Zip <input type="text"/>	Zip <input type="text"/>
	State: _____	State: _____	State: _____
	Country: _____	Country: _____	Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Tax ID*			
Tax ID Type			
City of Birth			
Country of Birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Others	<input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>
Percentage of Holding (%)			

\* To include US, where controlling person is a US Citizen or green card holder If UBA is KYC compliant, KYC proof to be enclosed, Else PAN or any other valid identity proof must be attached. Position I Designation Like Director I Settlor of Trust Protector of Trust to be specified wherever applicable. \* In case Tax Identification Number is not available, kindly provide functional equivalent \$ Attach valid documentary proof like shareholding pattern duly self attested by Authorized signatory - Company Secretary

## DECLARATION

I have read and understood the information requirements and the Terms & conditions mentioned in this form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this form is true correct and complete. I hereby agree and confirm to inform M/s JSEL Securities Ltd. For any modification to this information. further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of information (AEOI).

Name	<input type="text"/>
Designation	<input type="text"/>

Signature here : ( I ) 

Date :

Place :